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**APPLICATION
FOR
UNITED STATES
LETTERS PATENT**

Applicants: Charles C. Peck
For: METHOD FOR INCREASING THE
SIGNAL-TO-NOISE RATION IN IR-BASED
EYE GAZE TRACKERS
Docket No.: YOR920000699US1

**METHOD FOR INCREASING THE SIGNAL-TO-NOISE
RATIO IN IR-BASED EYE GAZE TRACKERS**

DESCRIPTION

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BACKGROUND OF THE INVENTION

Field of the Invention

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The present invention generally relates to eye gaze trackers and, more particularly, to techniques for improving accuracy degraded by ambient light noise while maintaining safe IR levels output by the illuminator.

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Description of the Related Art

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The purpose of eye gaze trackers, also called eye trackers, is to determine where an individual is looking. The primary use of the technology is as an input device for human-computer interaction. In such a capacity, eye trackers enable the computer to determine where on the computer screen the individual is looking. Since software controls the content of the display, it can correlate eye gaze information with the semantics of the program. This enables many different applications. For example, eye trackers can be used by disabled persons as the primary input device, replacing both the mouse and the keyboard. Eye trackers have been used for various types of research, such as determining how people evaluate and comprehend text and other visually

represented information. Eye trackers can also be used to train individuals who must interact with computer screens in certain ways, such as air traffic controllers, nuclear energy plant operators, security personnel, etc.

5 The most effective and common eye tracking technology exploits the "bright-eye" effect. The bright-eye effect is familiar to most people as the glowing red pupils observed in photographs of people taken with a flash that is mounted near the camera lens. In the case of eye trackers, the eye is illuminated with infrared light, which is not visible to the human eye. An infrared (IR) camera can easily detect the infrared light re-emitted by the retina. It can also detect the even brighter primary reflection of the infrared illuminator off of the front surface of the eye. The relative position of the primary reflection to the large circle caused by the light re-emitted by the retina (the bright-eye effect) can be used to determine the direction of gaze. This information, combined with the relative positions of the camera, the eyes, and the computer display, can be used to compute where on the computer screen the user is looking.

10 Eye trackers based on the bright-eye effect are highly effective and further improvements in accuracy are unwarranted. This is because the angular errors are presently smaller than the angle of foveation. Within the angle of foveation, it is not possible to determine where someone is looking because all imagery falls on the high resolution part of the retina, called the fovea, and eye movement is unnecessary for visual interpretation.

15 However, despite the effectiveness of infrared

bright-eye based eye tracking technology, the industry is highly motivated to abandon it and develop alternative approaches. This is deemed necessary because the infrared-based technology is not usable in environments with ambient sunlight, such as sunlit rooms, many public spaces, and the outdoors. To avoid raising concerns about potential eye damage, the amount of infrared radiation emitted by the illuminators is set to considerably less than that present in normal sunlight. This makes it difficult to identify the location of the bright eye and the primary reflection of the illuminator due to ambient IR reflections. This, in turn, diminishes the ability to compute the direction of eye gaze.

SUMMARY OF THE INVENTION

The present invention is directed to techniques for improving accuracy in the signal to noise ratio of an eye tracker signal degraded by ambient light noise. It enables the effective use of bright-eye based eye tracking technology in a wider range of environments, including those with high levels of ambient infrared radiation. Of course one way in which to do this would be to increase the intensity of the IR illuminator to overcome the ambient sunlight. However, this solution is not viable since increased IR radiation has associated health risks.

Instead, the invention exploits the observation that the intensity of sunlight and its constituent wavelengths of light, such as infrared radiation, do not vary rapidly. During the inter-frame interval of video cameras (typically 1/30th of a second), the level of ambient infrared radiation

can be considered nearly constant.

5 The invention modulates the intensity of the illuminator with respect to time so that the illuminator signal may be extracted from the nearly constant ambient infrared radiation. The modulation of the illuminator is synchronized with the control of the camera/digitizing system to eliminate the need for pixel by pixel demodulation circuits. Several embodiments are disclosed for extracting the ambient IR (i.e., the noise) from the IR signal. In the 10 first embodiment, the modulation of the IR illuminator is synchronized with each frame of the camera such that the illuminator alternates between on and off with each subsequent frame. A video frame grabber digitizes and captures each frame. If one considers a sequence of such 15 frames, then the image captured in the first frame contains both the illuminator signal and the ambient radiation information. The image captured in the second frame contains only the ambient radiation information. By subtracting, pixel-by-pixel, the second frame from the first frame, a new 20 image is formed that contains only the information from the illuminator signal. The resulting image can then be used by the conventional eye tracker system to compute the direction of eye gaze even in the presence of an ambient IR source. Other embodiments or variations are also disclosed for 25 reducing ambient IR noise.

BRIEF DESCRIPTION OF THE DRAWINGS

30 The foregoing and other objects, aspects and advantages

will be better understood from the following detailed description of a preferred embodiment of the invention with reference to the drawings, in which:

Figure 1 is a diagram showing the basic set up of the eye gaze control system according to the present invention;

Figure 2 is a diagram illustrating how ambient IR radiation effects the eye gaze control system;

Figure 3A is a diagram illustrating IR noise mixed with the reflection signal when the illuminator is turned on for a first frame;

Figure 3B is a diagram illustrating just the noise acquired by turning the illuminator off for a second frame;

Figure 3C is a diagram illustrating the reflection signal having an improved S/N ratio by subtracting the second frame from the first frame;

Figure 4 is a diagram illustrating improving the S/N ratio by synchronizing the illuminator modulation for interleaved raster fields;

Figure 5 is a diagram illustrating improving the S/N ratio by synchronizing the illuminator with the even and odd horizontal pixels; and

Figure 6 is a diagram illustrating improving the S/N ratio by illuminating odd and even pixels in alternating interleaved raster fields forming a checkerboard pattern.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION

Referring now to the drawings, and more particularly to

Figure 1 there is shown a typical set up for the present invention. A display monitor 10 is connected to a computer 12 and positioned in front of a user 14. Traditional input devices such as a keyboard 16 or mouse (not shown) may also be present. However, in certain situations, the user may have physical constraints that render them unable to use traditional input devices. Therefore, the present invention provides an alternative to these traditional devices and would be useful for any individual capable of moving his or her eyes, including a quadriplegic or similarly disabled person. Although the user 14 is shown in a sitting position, the user could of course be lying down with the display 10 and eye tracker 18 positioned overhead or visible through an arrangement of mirrors.

An eye gaze tracker 18 is mounted and aimed such that the user's eyes 22 are in its field of vision 20. The eye is illuminated with infrared light. The tracker 18 detects the infrared light re-emitted by the retina. This information, combined with the relative positions of the tracker 18, the eyes 22, and the computer display 10, can be used to compute where on the computer screen the user 14 is looking 24.

As shown in Figure 2, the computer 12 outputs a display signal 40 to control the images on the display 10. The eye gaze tracker 18 comprises an illuminator portion 30 and a camera 32. As shown, the illuminator 30 comprises a ring of IR sources around the camera 32 in the center of the ring. This ring-type arrangement is shown for example in U.S. Patent 5,016,282 to Tomono et al. However, there are many arrangements of illuminator and camera that may be suitable for this application. The computer 12 supplies an

illuminator signal 42 to control the output of the
illuminator 30. The illuminator 30 illuminates the user's
eye with a beam in IR light 20. The IR camera 32 can easily
detect the infrared light re-emitted by the retina. It can
5 also detect the even brighter primary reflection 34 of the
infrared illuminator 30 off of the front surface of the eye.
The reflection signal 44 from the camera 32 is fed back to
the computer 12 for processing. However, as previously
noted, in the presence of another IR light source, such as
10 ambient sunlight 36, the reflection signal 44 includes not
only information owed to the reflected illuminator light 34,
but also noise caused by the ambient light 36. While the
sunlight 36 is shown directly entering the camera 32, it
will be appreciated by those skilled in the art that the
15 ambient light picked-up by the camera 32 may also be
sunlight or light from other sources reflected off of the
subject 14, walls, ceilings, other objects in the room.
Therefore, if there is appreciable ambient light, the
signal-to-noise (S/N) will be low and the computer 12 may
20 have difficulties in accurately detecting the position of
the user's gaze position on the display 10.

The first embodiment of the present invention, exploits
the observation that the intensity of sunlight and its
constituent wavelengths of light, such as infrared
25 radiation, do not vary rapidly. During the inter-frame
interval of the camera 32 (typically 1/30th of a second),
the level of ambient infrared radiation can be considered
nearly constant. Therefore, the computer modulates the
intensity of the illuminator 30 with respect to time. In
30 this case, the modulation of the illuminator signal 42 is

synchronized with each frame of the camera 32 such that the illuminator 30 alternates between on and off with each subsequent frame. A video frame grabber 46 digitizes and captures each frame. If one considers a sequence of such frames, then the image captured in the first frame contains both the illuminator signal and the ambient radiation information. The image captured in the second frame contains only the ambient radiation information. By subtracting, pixel-by-pixel, the second frame from the first frame, a new image is formed that contains only the information from the illuminator signal. The resulting image can then be used by the conventional eye tracker system to compute the direction of eye gaze. The process would then be repeated starting with the third frame. The resulting system would yield 15 eye gaze direction computations per second with a typical camera and frame grabber system.

Still referring to Figure 2, this process is illustrated in Figures 3A-C. Figure 3A represents the first frame in a sequence of frames. During this first frame, the illuminator 30 is turned on and is illuminating the user's eye with IR light. Due to ambient IR light in the room, reflection signal 44 comprises both the desired reflection signal 34, as well as the noise caused by the ambient light 36. In the second frame shown in Figure 3B, the illuminator 30 is turned off and the camera only sees the ambient light or reflections caused by the ambient light 36. Therefore, the reflection signal 44 only contains the noise as illustrated in Figure 3B. If a pixel by pixel subtraction is carried out, subtracting the image of Figure 3B from the image of Figure 3A, the resultant image, as shown in Figure

3C will be that caused by the illuminator 30 which is substantially devoid of the ambient noise and can be used to compute the direction of eye gaze.

The embodiment described above is limited by two factors. The first is the combined signal to noise ratio of the infrared video camera 32 and the frame digitizer 46. This signal to noise ratio must be less than the signal to noise ratio of the illuminator signal to the ambient radiation. This limitation applies to all embodiments and is the fundamental constraint on the range of environments in which the system can be used.

The second factor is temporal resolution. As noted above, the first embodiment produces 15 eye gaze direction computations per second. This rate can be effectively doubled by subtracting each subsequent frame and taking the absolute value of the result. If the "absolute value" operator is not available, then it can be approximated by adjusting the manner in which subtraction is performed.

Consider the following example: first, assume that the illuminator is turned on during even numbered frames and off during odd numbered frames. At time 1, the first output image, o_1 , is computed by subtracting frame 1, f_1 , from frame 0, f_0 . Thus, $o_1 = f_0 - f_1$. At time 2, the order of subtraction must be changed to avoid negative image values: $o_2 = f_2 - f_1$. At time 3, the original subtraction order is restored: $o_3 = f_2 - f_3$. The process continues indefinitely as follows: $o_4 = f_4 - f_3$, $o_5 = f_4 - f_5$, $o_6 = f_6 - f_5$, and so on. This can be expressed as $o_n = |f_n - f_{n-1}|$.

In this manner, up to 30 eye gaze direction computations per second are possible with typical camera and

frame grabber systems. If a one frame period of delay is acceptable, temporal second order techniques for estimating noise or signal plus noise is possible. For example, at time 2, o_1 would be produced as follows: $o_1 = |f_1 - (f_0 + f_2)/2|$.
5 This expression can be more generally written as $o_n = |f_n - (f_{n-1} + f_{n+1})/2|$.

If even greater temporal resolution is required, it may be acquired at the expense of spatial resolution by synchronizing the illuminator 30 with the fields instead of
10 the frames. To reduce the appearance of flicker most video camera standards use interleaving. As shown in Figure 4 interleaving first scans the even numbered horizontal lines of a frame and then the odd numbered lines. In this manner the full height of the frame is scanned twice per frame, or
15 typically once every 1/60th of a second. Each half of a frame scanned in this manner is called a "field" and each field has half the vertical resolution of a frame. In this case, the illuminator 30 is turned on during the scan of field 1 and turned off during the scan of field 2. Thus
20 field 1 contains the actual reflection signal mixed with the noise signal and field 2 contains only the noise signal due to the ambient light. Subtracting raster lines in field 2 from adjacent raster lines in field 1 nearly eliminates the noise signal.

25 As shown in Figure 5, in the third embodiment, the computer synchronizes the illuminator 30 with the even and odd horizontal pixels. For example, the illuminator would be on for all even numbered horizontal pixels and off for the odd numbered horizontal pixels. This would effectively form
30 alternating vertical stripes consisting of signal and noise

or just noise information. The illuminator signal would be extracted by subtracting adjacent pixels from each other and taking the absolute value. Naturally, this modulation scheme would require an illuminator 30 capable of turning on and off many hundreds of times faster than required for the other schemes. This approach could be used with frames or fields.

As shown in Figure 6, the second and third modulation techniques shown in Figures 4 and 5 can also be combined to yield a checkerboard pattern of noise pixels and signal plus noise pixels with adjacent pixels being subtracted to yield a reflection signal having improved S/N characteristics.

Spatial and temporal second order techniques as described above could also be used for noise and signal plus noise estimation for any of the above embodiments.

In addition, this invention is preferably embodied in software stored in any suitable machine readable medium such as magnetic or optical disk, network server, etc., and intended to be run of course on a computer equipped with the proper hardware including an eye gaze tracker and display.

While the invention has been described in terms of a several preferred embodiments, those skilled in the art will recognize that the invention can be practiced with modification within the spirit and scope of the appended claims.